

ATTORNEY DOCKET NO.  
11321-P073WOUS

PATENT  
Serial No. 10/560,351



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Valery N. Khabashesku  
Serial No: 10/560,351  
Filing Date: June 16, 2004  
Group Art Unit: Unknown  
Confirmation No: 6399  
Title: *Sidewall Functionalization of Nanotubes with Hydroxyl Terminated Moieties*

Mail Stop: Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C.  
371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

In response to the Notification of Missing Requirements dated March 24, 2006, enclosed are the following documents:

1. Declaration signed by all inventors;
2. A copy of the Notification of Missing Requirements;
3. Check in the amount of \$65.00;
4. Power of Attorney and Assignee Statement;
5. Petition for Extension of Time (two-month) and required fee;
6. Postal Receipt Card.

06/14/2006 GFREY1 00000127 10560351

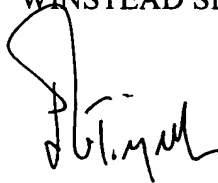
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65.00 0P

Applicant does not believe that any other fees are due at this time. However, if this is incorrect, the Commissioner is hereby authorized to charge any fees which may be required by this paper to Deposit Account No. 23-2426 (referencing matter 11321-P073WOUS).

Respectfully submitted,

WINSTEAD SECHREST & MINICK P.C.



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Edward T. Mickelson  
Registration No. 50,413

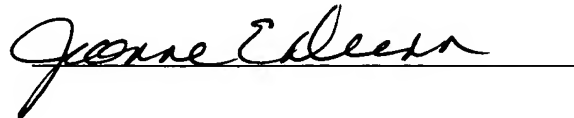
Date: June 8, 2006

P.O. Box 50784  
Dallas, Texas 75201  
Telephone: (713) 650-2632  
Facsimile: (214) 745-5390

**CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that the attached *Response to Notification of Missing Requirements* is being deposited with the USPS, with sufficient postage, as "Express Mail – Post Office to Addressee", mailing label number EL812637220US, addressed to Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this the 8th day of June, 2006.

6/8/06





06-09-06

PCT

## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/560,351	Khabashesku	11321-P073WOUS

INTERNATIONAL APPLICATION NO.
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PCT/US04/19015

I.A. FILING DATE	PRIORITY DATE
06/16/2004	06/16/2003

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RECEIVED ON

MAR 31 2006

BY WINSTEAD

CONFIRMATION NO. 6399

371 FORMALITIES LETTER



\*OC000000018364114\*

Date Mailed: 03/24/2006

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 12/12/2005
- Copy of the International Search Report filed on 12/12/2005
- Request for Immediate Examination filed on 12/12/2005
- U.S. Basic National Fees filed on 12/12/2005
- Priority Documents filed on 12/12/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is \$65 for a Small Entity:

- \$65 Surcharge.

DOCKETED ON

APR 04 2006

By: RMT

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

INDIA L EVANS

Telephone: (703) 308-9140 EXT 212

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/560,351	PCT/US04/19015	11321-P073WOUS

FORM PCT/DO/EO/905 (371 Formalities Notice)